1998 KWAZULU-NATAL INCOME DYNAMICS STUDY
COMMUNITY QUESTIONNAIRE
(ANNOTATED VERSION)

1. Name of Community	-
2. Cluster Number	*clustnum*
3. Date of Interview	*date*
4. Interviewer Code	-
5. Supervisor Code	*supcode*

COVERA

* READ GUIDELINES ON PAGE 2 BEFORE STARTING

 List of People Interviewed

6. Name	7. Role in Community	8. Occupation	9. Age	10. Sex Male=1 Female=2	11. Education
persnum	*role*	*occpn*	*age*	*gender*	*educ*

COVERB

MAILING ADDRESS OF COMMUNITY LEADER:

_____

_____

_____

KZN COMMUNITY QUESTIONNAIRE INSTRUCTIONS

Complete one community questionnaire per cluster. To do so, a number of informed people must be interviewed in the community. At a minimum, four people should be interviewed representing the following three groups (typically answering questions about the relevant sections, e.g., school teacher/headmasters answering Section 2).

        1) Community Leaders (e.g., chief, municipal officials, town clerks)
        2) Teachers or Health Workers
        3) Women

For the purpose of the survey, the community is the geographical area (see cluster map) in which households are being interviewed and the people within that area.   All distances should be measured from the approximate center of the community. Facilities and organizations that **serve** the community include those within the community boundaries (or very close by) and those that are commonly used by many people in the community (even if further away).

Cover Page:
The address of someone influential in the community is needed in order to forward results from the research project.

Section 3: Health
Note that a single health facility, e.g., hospital, might provide services listed after it such as a dispensary or family planning clinic.

Section 5: Prices
a) Two sources or price information is required from each community. Go to the shops for this information.

RURAL AREAS: In rural areas, the first source is a local shop or spaza in (or very near) the community. The second source is a formal shop (such as supermarket) in a nearby town or business center where people in the community frequently shop.

URBAN AREAS: In urban areas, the first source is a local or informal shop, street vendor, or "corner café" in (or very near) the community. The second source is a formal shop (such as supermarket) nearby.

b)   There is space to record the price and units for up to three observations on each item.

Collect information on prices of different quantities of the items being sure to record the units in terms of a standard measure (kilograms or litres). Be sure to obtain the quantity available that is closest to 1 unit (kilogram or litre) getting exactly that quantity wherever possible.

Where quantities can be determined by the purchaser, get the 1 unit (kilogram or litre) price as well as the most commonly purchased quantity and price.

Sections 6 & 7: Economic Shocks and Social Capital

THESE SECTIONS MUST BE FILLED OUT IN A GROUP INTERVIEW OF AT LEAST THREE PEOPLE

SECTION 1: ECONOMY AND INFRASTRUCTURE

2. Does this community have tarred or dirt roads? CIRCLE ONLY ONE RESPONSE
roadtype

> Tarred roads 1
> Dirt/gravel roads............................... 2
> Both tarred and dirt roads.................. 3
> No motorable roads 4
> Other (specify).................................. 5 _____

3. Are there any roads that become impassable during certain terms of the year?
road_imp

> Yes... 1
> No.. 2 → GO TO QUESTION N1

4. If yes, for how long is the route usually impassable during the year?   _____ Months
duration

N1. Services and Facilities

Code	Service/Facility	N1a. How many [..] serve this community ? WRITE 5 WHEN 5 OR MORE	N1c. How far is the nearest [..]? (km) DISTANCE FROM CENTER OF COMMUNITY
3	Post Office	*post*	*post_d*
5	Bank	*bank*	*bank_d*
6	Market – Permanent Daily	*mktday*	*mktday_d*
7	Market – Periodic	*mktper*	*mktper_d*
8	Public Telephones (Total Number)	*phone*	*phone_d*
9	Public Telephones (Total Number ***Working***)	*wphone*	*wphone_d*

N2. What is the distance to the nearest main road used for transport to nearby towns, cities, and business districts? _____ km
distroad

N3. How often do the commonly used transport services operate on the main road mentioned in Question N2? CIRCLE ONLY ONE RESPONSE
tranfreq

> Hourly... 1
> Four times a day 2
> Twice a day 3
> Once a day.. 4
> Less than once a day.......................... 5

SEC1

SECTION 2: EDUCATION

Section 2.1: Primary Schools

N1. How many primary schools serve this community ? _____
psch_num

COMPLETE FOR ALL PRIMARY SCHOOLS THAT SERVE THE COMMUNITY	School 1	School 2	School 3	School 4
4. What is the NAME of the school?	*schoolno*			
N2. What is the distance to the primary school? (km)	*dist*			
5. Is it a government school or a private school? GOVERNMENT……1 PRIVATE ………….2	*type*			
6. Is it boys only, girls only, or mixed? BOYS ONLY……… 1 GIRLS ONLY ………..2 MIXED………………3	*sexmix*			
7. How many classrooms are there?	*rooms*			
N3. What is the highest standard?	*high_std*			
9a. Number of students	*students*			
9b. Number of teachers	*teachers*			
N4. What year was the school built?	*built*			

SEC2_1

Section 2.2: Secondary Schools

N1. How many primary schools serve this community ? _____
ssch_num

COMPLETE FOR ALL SECONDARY SCHOOLS THAT SERVE THE COMMUNITY	School 1	School 2	School 3	School 4
4. What is the NAME of the school?	*schoolno*			
N2. What is the distance to the secondary school? (km)	*dist*			
5. Is it a government school or a private school? GOVERNMENT……1 PRIVATE …….……2	*type*			
6. Is it boys only, girls only, or mixed? BOYS ONLY………..1 GIRLS ONLY ……….2 MIXED……….……...3	*sexmix*			
7. How many classrooms are there?	*rooms*			
N3. What is the highest standard?	*high_std*			
9d. Number of students	*students*			
9e. Number of teachers	*teachers*			
N4. What year was the school built?	*built*			

N5.   Has there been any adult education (literacy) training in the last 5 years (since 1993)?
adultlit

        Yes... 1
        No.. 2

SEC2_2

SECTION 3: HEALTH

Facilities and Personnel

Code	Personnel/Facility	N1. How many [..] serve this community?	N2. How far is the nearest [..] ? (km)	N3. What is the NAME of the nearest [..]
08	Public Hospital	*pubh*	*pubh_d*	-
09	Private Hospital	*pvth*	*pvth_d*	-
02	Dispensary	*disp*	*disp_d*	
03	Pharmacy	*pharm*	*pharm_d*	
04	Maternity Home	*mat*	*mat_d*	
05	Clinic or health post	*clinic*	*clinic_d*	
06	Family planning clinic	*fpclin*	*fpclin_d*	
10	Traditional healer	*healer*	*healer_d*	
11	Private sector doctor who visits the community	*pvtdoc*	*pvtdoc_d*	
12	Family planning worker who visits the community	*fpwkr*	*fpwkr_d*	

6.   Are there any other health services or personnel in this community that I did not cite?
otherhs

     Yes.. 1   Specify _____   *otherhsn*
     No.. 2

N4. Have any new health facilities, including those mentioned above, been built in the last 5 years (since 1993)?
newhs

     Yes.. 1   Specify _____   *(variable dropped)*
     No.. 2

10. Has there been an immunization campaign in this community in the last 5 years (since 1993)?
immuniz

     Yes.. 1
     No.. 2

SEC3

SECTION 4: AGRICULTURE

3. Do government agricultural extension agents serve the farmers of this community ? (Extension agents provide technical support to farmers.)

agexten

     Yes... 1
     No.. 2 → GO TO QUESTION 11

N1. How frequently do the government agricultural extension agents visit?

agfreq

     Once a month 1
     Once a season................................... 2
     Once a year....................................... 3

N2. Are the government agricultural extension agents men and/or women?

agsexmix

     Men only 1
     Women only 2
     Both men and women........................ 3

11. During the past 12 months have you received more or less rain than during the 12 months before?

rainfall

     More 1
     Less 2
     The same 3

N3. If a member of this community wanted access to ½ hectare of farm (1 soccer field) land for growing crops like maize, would they be able to get it by:

     N3a)   Asking local authorities?

landask   Yes... 1
               No.. 2

     N3b)   Renting it?

landrent   Yes... 1 → At what price per season? __*rentpay*_ Rand
               No.. 2

     N3c)   Other (Specify)

landoth   Yes... 1 _____
               No.. 2

N4. For manual labor such as farming or factory work, what is the average daily wage in this community?

   mwage   FOR MEN: _____ Rand

   wwage   FOR WOMEN: _____ Rand

SEC4

SECTION 5: SHOPS AND COMMODITY PRICES

3. Where do most households in the community do their shopping for food, clothing, and other goods? CODE UP TO THREE RESPONSES

Name of Shopping Place		Shop Code
a.	*shop1*	
b.	*shop2*	
c.	*shop3*	

Shop Codes	
01	Supermarket
02	Department Store
03	Community Shop/Spaza
04	Street Vendor
05	Vegetable Market
06	Meat Market
07	Other - Specify

SEC5A

N1.   OBTAIN PRICES FOR THE FOLLOWING FOOD ITEMS FROM A LOCAL/INFORMAL SHOP **AND** A MORE FORMAL TOWN SHOP/SUPERMARKET. COLLECT INFORMATION ON DIFFERENT QUANTITIES MAKING SURE TO OBTAIN PRICE FOR QUANTITY NEAREST 1 KILOGRAM OR LITRE.   (SEE INSTRUCTIONS BEFORE CONTINUING.)

Food Code	Item	Local/Informal Source		Town/Formal Source	
		Price (Rand)	Unit	Price (Rand)	Unit
fcode		*pricel*	*unitl*	*pricet*	*unitt*
02	Samp				
02	Samp				
03	Mealie meal				
03	Mealie meal				
03	Mealie meal				
05	Rice				
05	Rice				
05	Rice				
06	White bread (loaf)				
12	(Dried) Beans				
12	(Dried) Beans				
12	(Dried) Beans				
16	Vegetable oil				
16	Vegetable oil				
16	Vegetable oil				

SEC5B

Section 5: Shops and Commodity Prices (Continued)

Food Code	Item	Local/Informal Source		Town/Formal Source	
		Price (Rand)	Unit	Price (Rand)	Unit
fcode		*pricel*	*unitl*	*pricet*	*unitt*
17	Margarine				
17	Margarine				
19	Fresh Milk				
19	Fresh Milk				
19	Fresh Milk				
24	Sugar				
24	Sugar				
24	Sugar				
31	Tinned fish				
31	Tinned fish				
31	Tinned fish				
35	Cabbage				
35	Cabbage				
35	Cabbage				
44	Washing powder (e.g., Surf, Omo)				
44	Washing powder (e.g., Surf, Omo)				
44	Washing powder (e.g., Surf, Omo)				
49	Paraffin				
49	Paraffin				
49	Paraffin				

SEC5B

SECTION 6: ECONOMIC SHOCKS

I am going to ask you about all the good or bad surprises (economic shocks) that the community has experienced in the last 5 years (since 1993).

N1. Type of Surprise	N2. Surprise Code	N3. What year did it happen?	N4. How long did it last? Months	N5. Proportion of the community affected ¼ ….. 1 ½ ….. 2 ¾ ….. 3 All … 4	N6. For those affected, how strong were the effects? 1 - very severe 2 - severe 3 - not too severe	N7. After the shock occurred, what did the community do in response and to cope with the its effects? (Describe)
shock	*shockcod*	*year*	*duration*	*proptn*	*severity*	*response*

SEC6

N1. Type of Surprise	N2. Surprise Code	N3. What year did it happen?	N4. How long did it last? Months	N5. Proportion of the community affected ¼ ….. 1 ½ ….. 2 ¾ ….. 3 All … 4	N6. For those affected, how strong were the effects? 1 - very severe 2 - severe 3 - not too severe	N7. After the shock occurred, what did the community do in response and to cope with the its effects? (Describe)

Surprise Code			
1 = Flood	6 = Livestock Epidemic	10 = New community service (specify)	14 = New community leader
2 = Hailstorm	7 = HIV/AIDS	11 = Non-Governmental Organization (NGO) pullout	15 = Shutdown of major employer
3 = Drought	8 = Human Epidemic (specify)	12 = NGO Entry	16 = Opening of major employer
4 = Veldt Fire	9 = Breakdown of community service (Specify)	13 = Death of a community leader	17 = Shutdown of public works program
5 = Crop Pests			18 = Opening of public works program
			19 = Other (Specify)

SEC6

SECTION 7: SOCIAL CAPITAL

Section 7.1: Community Organizations

THIS SECTION SHOULD BE FILLED IN DURING GROUP SESSION

	N1. **How many of the following types of groups, clubs, or associations are there serving community (i.e., that many community members belong to) now and how many were there in 1993?**						
	1. **Services** (development, school, water committees)	**2.** **Financial** (stockvel, burial society, buying clubs)	**3.** **Production** (farmer's association, trader's group, sewing group)	**4.** **Sport/ culture** (music, football, study)	**5.** **Religious** (churches)	**6.** **Political** (men's, women's or youth leagues)	**7.** **Other** Specify : ……………
a. **Now**	*servic*	*financ*	*prodtn*	*sport*	*relig*	*polit*	*other*
b. **1993**	*servic93*	*financ93*	*prodtn93*	*sport93*	*relig93*	*polit93*	*(variable dropped)*

SEC7_1A

THIS SECTION SHOULD BE FILLED IN DURING GROUP SESSION

FOR THE <u>AT LEAST THREE</u> OF THE MOST IMPORTANT GROUPS SERVING THE COMMUNITY, ANSWER FOLLOWING

N2. Type of Group (e.g., stockvel)	N3. Group Code FROM ABOVE TABLE	N4. How many years ago did the group start? Years	N5. Who initiated the group? WRITE EACH CODE IF MORE THAN ONE Start Code	N6. Are office bearers elected? Yes .. 1 No… 2	N7. Has there been such an election in the past 5 years? Yes .. 1 No… 2	N8. What is the composition of the group? 1=Male only 2=Female only 3=Both	N9. Do all members of the group have about the same standard of living? Yes .. 1 No… 2	N10. Is there a fee to join the group? If yes, how much is it? (Rand) 0=no fee	N11. Is there a monthly contribution? If yes, how much is it? (Rand) 0 =no fee	N12. How do/did you rate the overall functioning of the group? 1=very weak 2=weak 3=average 4=strong 5=very strong Now	1993
group	*groupcod*	*age*	*whostart*	*elect*	*elect5*	*sexmix*	*homog*	*joinfee*	*monthfee*	*perf*	*perf93*

Start Code Question N5		
1 = Local Government	3 = Non-governmental Org (NGO)	6 = Public Company
2 = Central Government (Provincial or National)	4 = Community Led	7 = Private Sector
	5 = Religious	8 = Other (Specify)

SEC7_1B

Section 7.2: Civic Engagement

THIS SECTION SHOULD BE FILLED IN DURING GROUP SESSION

	a) Yes .. 1 No …2	b) How many times?	c) Describe	Was it successful? Yes=1 No=2
N1. In the past 5 years, has the community cooperated to apply for development assistance?	*apply* If 2 → QN2	*applyno*	*applydes*	*applysuc*
N2. In the past 5 years, have there been any community-wide meetings?	*mtg* If 2 → QN3	*mtgno*	What are they typically about? *mtgdes*	*mtgsuc*
N3. In the past 5 years has the community organized to build/purchase something (e.g., by collecting funds)?	*action* If 2 → QN4	*actionno*	What was the main purpose? *actiond*	*actionsu*

N4. How would you rate this community's participation in the 1994 general elections?
elect94
    ¼ of eligible voters............................ 1
    ½ of eligible voters............................ 2
    ¾ of eligible voters............................ 3
    All eligible voters.............................. 4

N5. How would you rate this community's participation in the 1996 local elections?
elect96
    ¼ of eligible voters............................ 1
    ½ of eligible voters............................ 2
    ¾ of eligible voters............................ 3
    All eligible voters.............................. 4

SEC7_2

Section 7.2: Civic Engagement (Continued)

N6. If the community needed something (e.g. a new water system) would it be easy to get people to organize and contribute to do it?
org

> Very easy... 1
> Somewhat easy.................................. 2
> Difficult... 3

N7. Would it have been easier or harder to do the type of task mentioned Question N6 five years ago in 1993?
org93

> Easier... 1
> Harder.. 2

N8. About what year did the majority of members of this community come here? _____
settle

N9. Have a lot of new people come to this community since 1993?
newcomer

> Yes.. 1
> No... 2

SEC7_2

Section 7.3: Trust

THIS SECTION SHOULD BE FILLED IN DURING GROUP SESSION

I am going to list different types of people or groups. On a five point scale where

     1 = no trust
     2 = low trust
     3 = medium trust
     4 = high trust
     5 = complete trust   …..could you tell me how much people in this community trust them now and in 1993 ?

	Now	**1993**
b. neighbours or community as a whole	*nbr*	*nbr93*
c. local leadership, e.g. civic leaders, councilors, (excluding yourselves)	*leader*	*leader93*
d. local government officials	*locgvt*	*locgvt93*
e. strangers	*strang*	*strang93*
f. newspaper/radio/tv	*news*	*news93*
g. National Government **keeps promises**	*ngkp*	*ngkp93*
h. National Government **serves needs of poor**	*ngpoor*	*ngpoor93*

SEC7_3

7.4: Violence

THIS SECTION SHOULD BE FILLED IN DURING GROUP SESSION

N1. In terms of safety **inside the home**, how do people feel compared to 1993?   NOTE: THIS REFERS TO DOMESTIC VIOLENCE

violin   Safer now 1
About as safe now 2
Less safe now 3

N2. In terms of safety **outside the home**, how do people feel compared to 1993?

violout   Safer now 1
About as safe now 2
Less safe now 3

N3. On a five point scale how you would rate the frequency of the following types of crime in this community compared with 1993?
1 = almost no crime
2 = small amount of crime
3 = some crime
4 = a lot of crime
5 = very high crime

Crime	a) Now	b) 1993
1. Assault	*asslt*	*asslt93*
3. Robbery	*robbry*	*robbry93*
4. Rape	*rape*	*rape93*
5. Murder	*murder*	*murder93*
6. Kidnapping or Abduction	*kidnap*	*kidnap93*
7. Other (specify..)	*other*	*other93*
8. Other (specify...)		

SEC7_4

SECTION 8: PROGRAM AND NGO ACTIVITY

Section 8.1: Non-governmental Organizations

1) **LIST ALL THE NGOs THAT ARE SERVING, OR HAVE SERVED IN THE PAST 5 YEARS, THIS COMMUNITY AND THE PEOPLE WHO LIVE HERE**
2) **ANSWER QUESTIONS ABOUT EACH NGO**

N1. NAME	N2. Year Began Activity	N3. Year Ended Activity	N4. Number of years active	N5. Type of Assistance provided (e.g., technical, credit, education, food aid, etc.)
name	*yearb*	*yeare*	*duration*	*type*

SEC8_1

Section 8.2: Area Projects

The following is a list of projects or improvements that may have been carried out in the community (or in a nearby area that serves the community) in the past five years. List each such project and answer the questions.

N1. Type of Project	N2. What year did it begin?	N3. What year did it finish?	N4. Who initiated the project?	N5. What fraction of the community does it serve?	N6. Does it serve people with different standards of living?
			Start Code	¼, ½, ¾, All	Yes = 1 No = 2
project	*yearb*	*yeare*	*whostart*	*proptn*	*whoserve*

PROMPT LIST

Telephone

Electricity

Road conditions/construction

Transport Availability

Drinking Water

Building Toilet Facilities

Community Gardens

Community Center

School/Medical Facility Building

Agricultural Extension

Irrigation Construction

Start Code Question N4		
1 = Local Government	3 = Non-governmental Org (NGO)	6 = Public Company
2 = Central Government	4 = Community Led	7 = Private Sector
(Provincial or National)	5 = Religious	8 = Other (Specify)

SEC8_2