



**Ethical Clearance Application:
Assessment of Ethics in Research Projects**

Project: Hungry Cities Partnership

Instrument

Submitted by: Gareth Haysom, project coordinator, on behalf of Edgar Pieterse, Principal Investigator,
Hungry Cities Partnership, African Centre for Cities, University of Cape Town

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Questionnaire Number			
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AFSUN FOOD SECURITY HOUSEHOLD SURVEY



OFFICE USE ONLY:

Person	Y	N	Name	Signature	Date	Method		Ref. Number (In Office)
						Face to	Telephone	
Debriefed by Field Manager/Supervisor:	1	2						
Back Checked by FM/Supervisor	1	2				1	2	
Edited by:	1	2						
Back-Checked in Office	1	2				1	2	

EA Name:		EA Number:	
		Province	
Field Manager			

INTERVIEWER COMPLETE BELOW

Date of interview: <i>(Please ensure that you record the date correctly.)</i>	Day	Month	2013
Start Time of Interview	HH : MM		

Interviewer name:		Interviewer surname:		Interviewer number:	
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Household Selection Procedure

Start your walk pattern from the starting point indicated on the EA map. Use an interval of 2 houses between your starting point and the first house that you visit. Continue walking and going to every 3th house until you have a successful interview.

PROJECT INFORMATION AND INFORMED CONSENT

Ask to speak with the head of the household or an adult who can speak on behalf of the household

INTRODUCTION: Good Day! My name is (Insert name). I am working as a researcher for (Insert Institution). We are talking to people in (Insert City) about how they get food and other important and related social and economic issues. Your household has been randomly selected and we would like to discuss these issues with yourself or an adult member of your household. Your opinions will help us to get a better idea about how people in (Insert City) feel about these issues. There are no right or wrong answers. The interview will take about 45 minutes. Your answers will be combined with those of over 500 other people we are talking to in (Insert City) to get an overall picture. Any answers you provide will be kept absolutely confidential, and there is no way anyone will be able to identify you by what you have said in this interview. We are not recording either your address or your name, so you will remain anonymous so please feel free to tell us what you think. The data we collect from these interviews will always be kept in a secure location. You have the right to terminate this interview at any time and you have the right to refuse to answer any questions you might not want to respond to.

Are you willing to participate?

Yes	1	➤ Continue
No	2	➤ IF NO: READ OUT: Thank you for your time. Goodbye.

Thank you for agreeing to participate in this study. Are there any questions you wish to ask before we begin?

A. FOOD INSECURITY

- 1. HOUSEHOLD FOOD INSECURITY ACCESS SCALE (HFIAS).**
I would like to start off by reading some statements that people have made about their household's food consumption over the past four weeks. For each statement I would like you to tell me whether this happened rarely, sometimes, often or never in the past four weeks.
Interviewer: HAND SHOWCARD TO RESPONDENT (READ list and circle only ONE answer for each question)

Household Food Insecurity Access Scale (HFIAS) for last four weeks	No	Rarely (once or twice)	Sometimes (3 to 10 times)	Often (more than 10 times)
a. In the past four weeks, did you worry that your household would not have enough food?	1	2	3	4
b. In the past four weeks were you or any household member not able to eat the kinds of foods you preferred because of a lack of resources (money)?	1	2	3	4
c. In the past four weeks did you or any household member have to eat a limited variety of foods due to a lack of resources (money)?	1	2	3	4
d. In the past four weeks, did you or any household member have to eat some foods that you really did not want to eat because of a lack of resources (money) to obtain other types of food?	1	2	3	4
e. In the past four weeks, did you or any household member have to eat a smaller meal than you felt you needed because there was not enough food?	1	2	3	4
f. In the past four weeks, did you or any household member have to eat fewer meals in a day because there was not enough food?	1	2	3	4
g. In the past four weeks, was there ever no food to eat of any kind in your household because of lack of resources (money) to get food?	1	2	3	4
h. In the past four weeks, did you or any household member go to sleep at night hungry because there was not enough food?	1	2	3	4
i. In the past four weeks, did you or any household member go a whole day and night without eating anything because there was not enough food?	1	2	3	4
j. In the past week, did you or any household member eat a cooked meal less than once a day?	1	2	3	4

- 2. HOUSEHOLD DIETARY DIVERSITY SCORE (HDDS)**
Now I would like to ask you about the types of foods that you or anyone else in your household ate **YESTERDAY**. By yesterday we are referring to foods that were eaten during the day and/or at night.
(Read the list of foods. Circle YES in the box if anyone in the household ate the food in question, circle NO if no one in the household ate the food)

Types of food	Yes	No
a. Any pasta, bread, rice noodles, biscuits or any other foods made from flour, millet, sorghum, maize, rice, wheat, or oats	1	2
b. Any potatoes, sweet potatoes, beetroots, carrots or any other foods made from them (e.g. chips, crisps)	1	2
c. Any other vegetables	1	2
d. Any fruits	1	2
e. Any beef, pork, lamb, goat, rabbit, wild game, chicken, duck, other birds, chicken heads and feet, liver, kidney, heart, or other organ meats / offal or products	1	2
f. Eggs	1	2
g. Any fresh fish, dried fish or shellfish (e.g crayfish, prawns, perlemoen, mussels etc)	1	2
h. Any foods made from beans, peas, lentils, or nuts	1	2
i. Any cheese, yoghurt, milk or other milk/dairy products	1	2
j. Any foods made with oil, fat, or butter	1	2
k. Any sugar or honey (including sugar in tea, coffee etc)	1	2
l. Any other foods, such as condiments, coffee, tea	1	2

MONTHS OF ADEQUATE HOUSEHOLD PROVISIONING (MAHP)

Now I would like to ask you about your household's food supply during different months of the year. When responding to these questions please think back over the last 12 months.

3a.

In the past 12 months, were there months in which you did not have enough food to meet your household's needs?

Yes	1	➤ Continue
No	2	➤ Skip to Question 4

3b.

If YES, ask: which were the months (in the past 12 months) in which you did not have enough food to meet your family's needs?

Circle the months in which household did not have enough food to meet needs	Yes
a. January	01
b. February	02
c. March	03
d. April	04
e. May	05
f. June	06
g. July	07
h. August	08
i. September	09
j. October	10
k. November	11
l. December	12

4.**EXPERIENCE OF FOOD PRICE CHANGES**

Now I would like to ask you about your household's experience of food prices over the past six months. Over the past six months, have you or your household gone without certain types of food because of the price of food (it is unaffordable)?

(Circle the appropriate answer)

Frequency of going without food because of price of food		
a. About once a month	1	Ask Q5
b. About once a week	2	
c. More than once a week but less than every day of the week	3	
d. Every day	4	
e. Never	5	Skip to Q6
f. Don't know	9	

5.	You have said that over the past six months, you or your household have gone without food because of the increase in the price of food items. Which types of foods have you or your household gone without? (Read the list of foods. Circle 'Yes' in the box if anyone in the household has gone without this food and 'NO' if they have had this food)
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Types of food	Yes	No
a. Any pasta, bread, rice noodles, biscuits or any other foods made from flour, millet, sorghum, maize, rice, wheat, or oats	1	2
b. Any potatoes, sweet potatoes, beetroots, carrots or any other foods made from them (e.g. chips, crisps)	1	2
c. Any other vegetables	1	2
d. Any fruits	1	2
e. Any beef, pork, lamb, goat, rabbit, wild game, chicken, duck, other birds, chicken heads and feet, liver, kidney, heart, or other organ meats/offal or products	1	2
f. Eggs	1	2
g. Any fresh fish, dried fish or shellfish (e.g. crayfish, prawns, perlemoen, mussels etc)	1	2
h. Any foods made from beans, peas, lentils, or nuts	1	2
i. Any cheese, yoghurt, milk or other milk/dairy products	1	2
j. Any foods made with oil, fat, or butter	1	2
k. Any sugar or honey (including sugar in tea, coffee etc)	1	2
l. Any other foods, such as condiments, coffee, tea	1	2

6	Did any of the following problems prevent you from having enough food to meet your family's needs in the past six months? (Read the list of problems. Circle 'Yes' in the box if the problem has prevented the household from having enough to eat in the past six months. Circle 'No' if it did not affect this household's food consumption.)
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Problem	Yes	No
a. Insecurity / violence	1	2
b. Theft of money / food	1	2
c. Death of a working household member	1	2
d. Death of the head of the household	1	2
e. Death of other household member	1	2
f. Serious illness of household member	1	2
g. Accident of household member	1	2
h. Loss / reduced employment for a household member	1	2
i. Reduced income of a household member	1	2
j. Relocation of the family	1	2
k. Reduced or cut-off of remittances from relatives	1	2
l. Taking in orphans of deceased parent(s)	1	2
m. Health risks / epidemics (e.g. cholera)	1	2
n. Floods, fire and / or other environmental hazards	1	2
o. Increased cost of water	1	2
p. End of a social grant	1	2
q. Food cannot be safely stored because of pests (e.g. insects, rats, mice)	1	2
r. Lack of refrigeration for food	1	2
s. Political problems / issues	1	2
t. Other (specify)	1	2

B. FOOD SOURCES

7a.	In the past year, has this household obtain any of its food from the following sources? <i>(Read the list of food sources. Circle 'Food Code' if the respondent answers yes to the food source on the list.)</i>
7b.	How often does the household usually obtain its food from these sources? <i>Hand showcard and ask for the frequency of obtaining food from each source where food is obtained from</i>

Source of food	(7a) Food Code	(7b) Frequency Food Obtained from this Source				
		At least five days a week	At least once a week	At least once a month	At least once in six months	At least once a year
a. Supermarket (Pick n Pay, Shoprite, Spar etc)	1a	1	2	3	4	5
b. Online market shopping	1b	1	2	3	4	5
c. Small shop (e.g. grocer, café, butchery)	2	1	2	3	4	5
d. Fast Food Take away (e.g. KFC)	3	1	2	3	4	5
e. Restaurant	4	1	2	3	4	5
f. Market	5	1	2	3	4	5
g. Spaza shop/Tuk Shops/ Kiosk/Corner Store	6	1	2	3	4	5
h. Street seller / trader / hawker	7	1	2	3	4	5
i. Livestock owned by household (chickens, sheep etc)	8	1	2	3	4	5
j. Household grows it in rural areas	9	1	2	3	4	5
j. Household grows it in urban areas	10	1	2	3	4	5
k. Food sent by relatives in rural areas	11	1	2	3	4	5
l. Food sent by relatives in another suburb / community of City	12	1	2	3	4	5
m. Food sent by relatives in other towns / cities	13	1	2	3	4	5
n. Shared meal with neighbours and / or other households in community	14	1	2	3	4	5
o. Food provided by neighbours and / or other households in community	15	1	2	3	4	5
p. Community food kitchen (e.g. soup kitchen)	16	1	2	3	4	5
q. Borrow food from others	17	1	2	3	4	5
r. Food provided at work	18	1	2	3	4	5
s. Food provided to children at school / creche - educate	19	1	2	3	4	5
t. Begging	20	1	2	3	4	5
u. Other (specify)	21	1	2	3	4	5

8a	Has your household (any member of this household) purchased any of the following foods in the last month (30 days) <i>(Read the list of foods)?</i> Circle 'Food Code' in the box if anyone in the household answers yes to the food on the list.)
8b	Ask for each type of food bought in the last month (30 days): How many times in the last month (30 days) did your household purchase this food? Hand show card and circle frequency. ONE answer per type of food
8c	Ask for each type of food bought in the last month (30 days): Where does your household normally purchase this food? Hand show card and circle frequency. More than one answer per type of food allowed
8d	Ask for each outlet purchased from : Where is this outlet located? Hand showcard

Type of food	(8a) Food Code	(8b) How many times in the last month (30 days) did your household purchase this food				(8c) Where does your household normally purchase this food									(8d) Where is this outlet located?					
		At least 5 days a week	At least once a week	At least twice a month	At least once a month	Supermarket	Small shop (e.g. grocer, café)	Butchery or bakery	Take away	Restaurant	Formal market	Informal market	Spaza shop	Street seller / trader	Within my Neighbourhood (in walking distance)	On Road to or from Work	CBD Main / Downtown Cape Town / Waterfront	Other Shopping Area (e.g. Claremont, Bellville, Wynberg, Muizenberg)	Outside the city	Other
a. Mealie meal	1	1	2	3	4	1	2	3	4	5	6	7	8	9	1	2	3	4	5	6
b. White bread	2	1	2	3	4	1	2	3	4	5	6	7	8	9	1	2	3	4	5	6
c. Brown bread	3	1	2	3	4	1	2	3	4	5	6	7	8	9	1	2	3	4	5	6
d. Rice	4	1	2	3	4	1	2	3	4	5	6	7	8	9	1	2	3	4	5	6
e. Pasta (spaghetti, macaroni)	5	1	2	3	4	1	2	3	4	5	6	7	8	9	1	2	3	4	5	6
f. Fresh/cooked vegetables	6	1	2	3	4	1	2	3	4	5	6	7	8	9	1	2	3	4	5	6
g. Fresh fruit	7	1	2	3	4	1	2	3	4	5	6	7	8	9	1	2	3	4	5	6
h. Tinned / canned vegetables	8	1	2	3	4	1	2	3	4	5	6	7	8	9	1	2	3	4	5	6
i. Tinned / canned fruit	9	1	2	3	4	1	2	3	4	5	6	7	8	9	1	2	3	4	5	6
j. Fresh Meat	10	1	2	3	4	1	2	3	4	5	6	7	8	9	1	2	3	4	5	6
k. Frozen meat	11	1	2	3	4	1	2	3	4	5	6	7	8	9	1	2	3	4	5	6
l. Cooked meat	12	1	2	3	4	1	2	3	4	5	6	7	8	9	1	2	3	4	5	6
m. Offal	13	1	2	3	4	1	2	3	4	5	6	7	8	9	1	2	3	4	5	6
n. Tinned / canned meat	14	1	2	3	4	1	2	3	4	5	6	7	8	9	1	2	3	4	5	6
o. Frozen Chicken	15	1	2	3	4	1	2	3	4	5	6	7	8	9	1	2	3	4	5	6

Type of food	(8a) Food Code	(8b) How many times in the last month (30 days) did your household purchase this food				(8c) Where does your household normally purchase this food									(8d) Where is this outlet located?					
		At least 5 days a week	At least once a week	At least twice a month	At least once a month	Supermarket	Small shop (e.g. grocer, café)	Butchery or bakery	Take away	Restaurant	Formal market	Informal market	Spaza shop	Street seller / trader	Within my Neighbourhood (in walking distance)	On Road to or from Work	CBD Main / Downtown Cape Town / Waterfront	Other Shopping Area (e.g. Claremont, Bellville, Wynberg, Muizenberg)	Outside the city	Other
p. Fresh chicken	16	1	2	3	4	1	2	3	4	5	6	7	8	9	1	2	3	4	5	6
q. Cooked chicken	17	1	2	3	4	1	2	3	4	5	6	7	8	9	1	2	3	4	5	6
r. Fresh fish	18	1	2	3	4	1	2	3	4	5	6	7	8	9	1	2	3	4	5	6
s. Frozen fish	19	1	2	3	4	1	2	3	4	5	6	7	8	9	1	2	3	4	5	6
t. Cooked fish	20	1	2	3	4	1	2	3	4	5	6	7	8	9	1	2	3	4	5	6
u. Pies / samosa / vetkoek	21	1	2	3	4	1	2	3	4	5	6	7	8	9	1	2	3	4	5	6
v. Eggs	22	1	2	3	4	1	2	3	4	5	6	7	8	9	1	2	3	4	5	6
w. Fresh Milk	23	1	2	3	4	1	2	3	4	5	6	7	8	9	1	2	3	4	5	6
x. Sour milk / maas	24	1	2	3	4	1	2	3	4	5	6	7	8	9	1	2	3	4	5	6
y. Tea / Coffee	25	1	2	3	4	1	2	3	4	5	6	7	8	9	1	2	3	4	5	6
z. Sugar	26	1	2	3	4	1	2	3	4	5	6	7	8	9	1	2	3	4	5	6
aa. Cooking oil	27	1	2	3	4	1	2	3	4	5	6	7	8	9	1	2	3	4	5	6
bb. Snacks (Crisps / nik naks etc)	28	1	2	3	4	1	2	3	4	5	6	7	8	9	1	2	3	4	5	6
ab. Sweets / chocolate	29	1	2	3	4	1	2	3	4	5	6	7	8	9	1	2	3	4	5	6
ac. Chips / French Fries	30	1	2	3	4	1	2	3	4	5	6	7	8	9	1	2	3	4	5	6

9a.	Does your household shop regularly (at least once a month) at a supermarket (e.g. Checkers, Shoprite, Pick 'n Pay, Woolworths, Spar)
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Yes	1	➤ Ask Q9b
No	2	➤ Ask Q9c

9b.	IF YES (above Q9a) ask: I would like to read out some things that people have said about shopping at supermarket and I would like you to tell me if you agree or disagree with the statement. READ OUT
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Statements	Agree	Neither Agree nor disagree	Disagree
a. Food is cheaper at supermarkets	1	2	3
b. Food is better quality at supermarkets	1	2	3
c. Supermarkets have a greater variety of foods	1	2	3
d. We can buy in bulk at supermarkets	1	2	3
e. Supermarkets are where we get social grant pay-outs so we shop there	1	2	3

9c.	IF NO, (above, Q9a), ask: I would like to read out some things that people have said about not shopping at supermarket and I would like you to tell me if you agree or disagree with the statement. READ OUT
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Statements	Agree	Neither Agree nor disagree	Disagree
a. Supermarkets are too far away	1	2	3
b. Supermarkets are too expensive	1	2	3
c. Supermarkets do not provide credit	1	2	3
d. Supermarkets are only for the wealthy	1	2	3
e. Supermarkets do not sell the food that we need	1	2	3

10a.	Does your household grow any of its own food in the city?
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Yes	1	➤ Skip to Q10b
No	2	➤ Ask Q10c

10b.	IF YES ask: What crops do you grow? (circle all that apply)
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a. Maize / Mealies / Corn	1
b. Vegetables (including herbs)	2
c. Fruit	3
d. Other (specify)	4

10.c	Where does this household produce these crops? (Accept multiple responses)	Production site	Code
		On own housing plot	1
		Hanging garden/balcony/terrace	2
		Within residential area, but outside own plot	3
		On riverbed	4
		On roadside	5
		On industrial site	6
		Urban forest	7
		Other urban land	8
		Don't know	9
10.d	Please estimate how long it takes for you to travel to your crops from your household via your normal means of transportation. (provide measurement in minutes)		

IF Q10a, Q10b, Q10c, and Q10d is answered then SKIP TO Q10d

10c.	Ask if Household does not grow any of its own food in Cape Town (answered NO in Q10a): I would like to read out some statements that people have made about not growing their own food. For each statement I would like you to tell me if you agree or disagree with that statement. READ OUT
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Reasons for not growing own food	Agree	Neither agree nor disagree	Disagree
a. Farming is for rural people only	1	2	3
b. We have no land on which to grow food	1	2	3
c. We have no interest in growing food	1	2	3
d. We lack the skills to grow food	1	2	3
e. We do not have access to inputs (seeds, water, fertilizer)	1	2	3
f. We do not have the time or labour	1	2	3
g. It is easier to buy our food than grow it	1	2	3
h. People would steal whatever we grow	1	2	3

10d.	Ask ALL Does your household own / keep any livestock here in the city? By livestock I am referring to animals such as cows, sheep chickens etc.
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Yes	1	➤	Ask Q10e
No	2	➤	Skip to Q11

10e.	IF YES ask: What livestock do you keep? (circle all that apply)
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a. Cows	1
b. Goats	2
c. Sheep	3

d. Chickens	4
e. Pigs	5
f. Other (specify)	6

11.	ASK ALL: Does your household participate in any community food production schemes or communal food gardens / projects?
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Yes	1
No	2

C. HOUSEHOLD ROSTER

12.

Now we require some information on the entire household. Please tell me how many people including yourself, children and babies live in this household. By household members we are referring to people who eat from the same pot and it includes children, babies and members of the household who are away working (migrants) or for other reasons).
List on the grid below the details for all people, including children and babies living in the household.

Q12aWrite in total number of HH members	1 House hold Head	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
Record the first names of each HH member																				

Q12kWhat is the work status of.....?
Hand Showcard (Ask for all HH members)

Self-employed01

Working full-time02

Working part-time/ casual/ contract/ seasonal03

Unemployed and looking for work04

Unemployed but not looking for work05

Housewife06

Pensioner07

Medically unfit, disabled, etc.08

Student / Scholar / Child09

Q12lWhat is the occupation of.....?
(Ask for all HH members. Hand Showcard)

Q12mWhat was the income of..... last month for their main occupation?

Q12nCurrent health status Does suffer from any of the following?
Hand Showcard (Ask for all HH members. Record up to 4 illnesses per HH member)

Q12oWhere was main meal eaten yesterday by.....? Hand Showcard

Home (this household)01

Another household02

Work place03

Restaurant04

Take away05

Street food06

School07

Community food kitchen08

Did not eat a meal09

Q12pWho in the household normally does any of the following:

Buys food1

Prepares food2

Decides who will get food (allocates)3

Grows food (produces)4

Show card for Q12n Health status	
Diabetes	01
Heart problems	02
Obesity	03
Malnutrition	04
Hypertension/stroke	05
Asthma	06
Arthritis	07
Tuberculosis (TB)	08
Chronic diarrhoea	09
Cancer	10

Show card for Q12l Occupation	
Pre-school child	01
Agricultural worker	02
Farmer	03
Service worker	04
Domestic worker	05
Nurse / health worker	06
Office worker	07
Foreman	08
Mine worker	09
Skilled manual worker	10
Unskilled manual worker	11
Informal sector producer	12
Trader / hawker / vendor	13
Security personnel	14

(continuation) Show card for Q12l Occupation	
Police / Military	15
Businessman / woman (self-employed)	16
Employer / Manager	17
Professional (doctor, lawyer)	18
Teacher	19
Health worker	20
Civil servant	21
Fisherman	22
Truck driver	23
Pensioner	24
Scholar / Student	25
House work (unpaid)	26
Unemployed/ Job seeker	27
Other (specify)	28

Q12b What is the Relation of ... to the household head Ask for all HH members																																	
Head of household	01	01																															
Spouse / partner	02																																
Son / daughter	03																																
Adopted / foster child/ orphan	04																																
Father / mother / in law	05																																
Brother / sister	06																																
Grandchild	07																																
Grandparent	08																																
Son / daughter-in-law	09																																
Other relative	10																																
Non-relative	11																																
Q12c GENDER: Is a male or a female? Ask for all HH members		M 1	F 2	M 1	F 2	M 1	F 2	M 1	F 2	M 1	F 2	M 1	F 2	M 1	F 2	M 1	F 2	M 1	F 2	M 1	F 2	M 1	F 2	M 1	F 2	M 1	F 2	M 1	F 2	M 1	F 2	M 1	F 2
Q12d AGE: What was the age of at their last birthday? Write in age (Interviewer : If there are babies in the household aged between 0-1years old write in ‘0-1’ Ask for all HH members																																	
Q12e marital status: is ...READ OUT Ask for all HH members																																	
Unmarried	01																																
Married	02																																
Living together / cohabiting	03																																
Divorced	04																																
Separated	05																																
Abandoned	06																																
Widowed	07																																
Q12f Where was ... born? Was it... READ OUT Ask for all HH members																																	
Cape Town	1																																
Another urban area (town or city) in South Africa	2																																
Rural area in South Africa	3																																
Foreign country	4																																
Q12g For each HH member born outside Cape Town ask: In which year did move here to live? (Only for HH members Code 2, 3, 4 ...)																																	
Q12h Doeslive away from this household? Ask for all HH members																																	
No	1																																
Yes, working somewhere else	2																																
Yes, attending school	3																																
Yes, attending university	4																																
Yes, living with other relatives	5																																
Q12i Where is living now? Ask only for HH members living away from home																																	
Cape Town	1																																
Another urban area (town or city) in South Africa	2																																
Rural area in South Africa	3																																
Foreign country	4																																
Q12j What is the highest level of education achieved by...? Ask for all HH members																																	
No formal schooling	01																																
Some Primary	02																																
Primary completed	03																																
Some high school	04																																
High school completed	05																																
Post-secondary qualifications not university (diploma, or degree from technikon or college)	06																																
Some university	07																																
University completed	08																																
Post-graduate	09																																

D. HOUSEHOLD DATA

13.

(INTERVIEWER: OBSERVE and COMPLETE. Do not ask) Which one of the following housing types best describes the type of dwelling this household occupies? **ONE answer**

Type of dwelling	Circle ONE answer
a. House	01
b. Town house	02
c. Flat/Apartment	03
d. Traditional dwelling / homestead	04
e. Hostel / Compound / dormitory	05
f. Hotel / Boarding house	06
g. Backyard shack attached to house	07
h. Room in house	08
i. Room in flat	09
j. Shack in informal settlement/squatter camp	10
k. Mobile home (caravan / tent)	11
l. Other (specify):	12

14.

Which if the following best describes the setup of this household?
(**DO NOT read aloud - ask about household type and circle only ONE answer**)

a. Female Centered (No husband / male partner in household, may include relatives, children, friends)	1
b. Male Centered (No wife / female partner in household, may include relatives, children, friends)	2
c. Nuclear (Husband / male partner and wife/ female partner with or without children)	3
d. Extended (Husband / male partner and wife/ female partner and children and relatives)	4
e. Single person household (Respondent lives alone)	5
f. Other (specify):	6

What was the household income in the last month from the following sources (in the last one (1) month):

15a.	Interviewer: Read out each category on the list and circle if income was earned from that category. Then complete the information for that row.
15b.	Enter amount over the past one (1) month to nearest local currency. Do not use decimal points e.g. record 20 dollar as \$20 and not \$20.00 Fill in zero for categories that do not apply.

Q15a: Categories where income was obtained from		Q15b. Amount (last month) (to nearest local currency)
a. Formal Wage work	1	
b. Informal Wage work	2	
c. Casual Wage work (Formal and Informal)	3	
d. Net Income from Formal Business	4	
e. Net Income from Informal Business (Production and sale of fresh produce by this household)	5	
f. Net Income from Informal Business (Sale of fresh produce not produced by this household)	6	
g. Net Income from Informal Business (Sale of goods)	7	
h. Net Income from Informal Business (Renting property)	8	
i. Net Income from Other Informal Business (Specify)	9	
j. Interest Earned on Personal Investments	10	
k. Non-Government Formal Grants or Aid	11	
l. Gifts (one time monetary gifts)	12	
m. Cash Remittances (regular financial support from friends or family)	13	
n. Government Social Grants (i.e. Old Age Pension, Unemployment Insurance, Child Benefit, Disability)	14	
o. Formal loans (Banks)	15	
p. Informal loans (Moneylenders)	16	
q. Other Income Source (specify)	17	
r. Refused to Answer	97	DO NOT READ ALOUD
s. Do Not Know	98	DO NOT READ ALOUD
t. Missing	99	DO NOT READ ALOUD
TOTAL MONTHLY INCOME (Do not complete)	OFFICE	

How much did the household spend on the following in the last one (1) month:	
16a	Interviewer: Read out each category on the list and circle if income was earned from that category. Then complete the information for that row.
16b	Enter amount over the past one (1) month to nearest Rand. Do not use decimal points e.g. record twenty dollar as \$20 and not \$20.00 Fill in zero for categories that do not apply.

MONTHLY HOUSEHOLD EXPENSES	AMOUNT
a. Food and Groceries	1
b. Housing (rent, mortgage payments, maintenance, renovation)	2
c. Clothing (not including uniforms)	3
d. Transportation (purchase of cars, motorbikes, bicycles; maintenance, fuel; public transit; not insurance)	4
e. Telecommunications (cell-phone, telephone, internet)	5
f. Household Furniture, Tools and Appliances (monthly purchases or monthly Instalment payments)	6
g. Medical care (doctor's visits, medications, supports. Does not include insurance)	7
h. Education (tuition, books, uniforms)	8
i. Entertainment (Does not include goods or appliances)	9
j. Insurance (Medical, Vehicle, Household, Life)	10
k. Debt Repayments	11
l. Donations, Gifts, Family Support, Wedding Gifts, Funeral Gifts (Only to other households)	12
m. Publically provided Utilities (Water, Electricity, Sanitation plus all taxes)	13
n. Informally purchased Utilities (Water, Electricity, Sanitation)	14
o. Fuel (charcoal, paraffin, kerosene, propane)	15
p. Cash remittances to rural areas	16
q. Savings	17
r. Other Monthly Expense (Specify)	18
s. Refused to Answer	97
t. Do Not Know	98
u. Missing	99
TOTAL MONTHLY EXPENSES (do not complete) OFFICE	

17.	How would you say the economic conditions of your household are today compared to your household a year ago? (Circle one answer only)
-----	---

Economic conditions	
a. Much worse	1
b. Worse	2
c. The same	3
d. Better	4
e. Much better	5

Living Poverty Index

18. Over the past year, how often, if ever, have you or your family (household) gone without:
(Read each question aloud and circle the most appropriate response. Circle only ONE answer for EACH ROW).

Conditions	Never	Just once or twice	Several times	Many times	Always	Don't know
a. Enough food to eat?	1	2	3	4	5	6
b. Enough clean water for home use?	1	2	3	4	5	6
c. Medicine or medical treatment?	1	2	3	4	5	6
d. Electricity in your home?	1	2	3	4	5	6
e. Enough fuel (electricity, wood, gas, paraffin, coal, etc) to cook your food?	1	2	3	4	5	6
f. A cash income?	1	2	3	4	5	6

E. SOCIAL GRANTS / SOCIAL PROTECTION

19. Does anyone in this household receive any of the following social grants? READ OUT (Accept multiple responses for type of aid and source of aid).

Type of Aid	Yes	No
a. Child grants	1	2
b. Old Age Pension	1	2
c. Disability grant	1	2
d. War veterans grant	1	2
e. Foster care grant	1	2
f. Food vouchers	1	2
g. Food for work	1	2
h. Food aid (food from organisations such as parcels from the UN, UNICEF etc	1	2
i. Unemployment Insurance	1	2
j. Grants for pregnant women	1	2

20. How much does the household receive in social grants in total per month?

Amount in Local Currency: _____

21. Where does the household receive its social grants?

Source of Social Grant	
a. At a cash pay-point / Social grant pay point (excluding supermarkets)	1
b. At a supermarket	2
c. Electronic deposit into bank or card loaded account	3
d. Other: (please specify)	5

22.	Does the household use its social grants for any of the following: read out each category and circle under the appropriate column
-----	--

Use of social grant	Yes	No
a. Purchase food/groceries for household	1	2
b. Pay education expenses (uniforms, fees)	1	2
c. Buy clothing	1	2
d. Buy household items	1	2
e. Pay medical expenses	1	2
f. Pay utilities (water, electricity)	1	2
g. Buy livestock	1	2
h. Buy seeds/fertilizer to grow produce	1	2
i. Remittances – send money to other relatives	1	2
j. Savings	1	2
k. Pay Debts	1	2
l. Gifts	1	2

23.	How important are social grants to the food security of this household? Would you say it is Read out and probe for strength of opinion; circle only ONE answer)
-----	--

Importance of social grants	
a. Very important	1
b. Important	2
c. Neutral	3
d. Not important	4
e. Not important at all	5
f. Don't know	6

Thank you very much for spending this time talking with us. The information you have provided is very valuable and we appreciate you sharing it with us. Just to reiterate, as we have not recorded your family name so no one can link what you have said to you or this household, so your confidentiality is totally guaranteed.
Goodbye.

Interviewer / Supervisor pledge: I hereby certify that this interview has been completed in full with the respondent and according to the instructions I received from AFSUN. Furthermore, this interview has been thoroughly checked.

Interviewer:		Date:		Supervisor:		Date:	
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End Time of Interview	HH : MM
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ADDENDUM 2: To be completed if you answered YES to Question 2:

It is assumed that you have read the UCT Code for Research involving Human Subjects (available at <http://web.uct.ac.za/depts/educate/download/uctcodeforresearchinvolvinghumansubjects.pdf>) in order to be able to answer the questions in this addendum.

2.1 Does the research discriminate against participation by individuals, or differentiate between participants, on the grounds of gender, race or ethnic group, age range, religion, income, handicap, illness or any similar classification?	YES	NO <input checked="" type="checkbox"/>
2.2 Does the research require the participation of socially or physically vulnerable people (children, aged, disabled, etc) or legally restricted groups?	YES	NO <input checked="" type="checkbox"/>
2.3 Will you not be able to secure the informed consent of all participants in the research? (In the case of children, will you not be able to obtain the consent of their guardians or parents?)	YES	NO <input checked="" type="checkbox"/>
2.4 Will any confidential data be collected or will identifiable records of individuals be kept?	YES	NO <input checked="" type="checkbox"/>
2.5 In reporting on this research is there any possibility that you will not be able to keep the identities of the individuals involved anonymous?	YES	NO <input checked="" type="checkbox"/>
2.6 Are there any foreseeable risks of physical, psychological or social harm to participants that might occur in the course of the research?	YES	NO <input checked="" type="checkbox"/>
2.7 Does the research include making payments or giving gifts to any participants?	YES	NO <input checked="" type="checkbox"/>

If you have answered YES to any of these questions, please describe below how you plan to address these issues:

ADDENDUM 3: To be completed if you answered YES to Question 3: **N/A**

3.1 Is the community expected to make decisions for, during or based on the research?	YES	NO
3.2 At the end of the research will any economic or social process be terminated or left unsupported, or equipment or facilities used in the research be recovered from the participants or community?	YES	NO
3.3 Will any service be provided at a level below the generally accepted standards?	YES	NO

If you have answered YES to any of these questions, please describe below how you plan to address these issues:

ADDENDUM 4: To be completed if you answered YES to Question 4: **N/A**

4.1 Is there any existing or potential conflict of interest between a research sponsor, academic supervisor, other researchers or participants?	YES	NO
4.2 Will information that reveals the identity of participants be supplied to a research sponsor, other than with the permission of the individuals?	YES	NO
4.3 Does the proposed research potentially conflict with the research of any other individual or group within the University?	YES	NO

If you have answered YES to any of these questions, please describe below how you plan to address these issues:

SEPARATE ANNEXURES:

Annexure 1: Sub-grantee agreement

Annexure 2: Overarching project MOU