



# LEADERSHIP FOR LITERACY

## ***Codebook for dataset:***

*principal\_oct\_clean.dta*

*The related instrument is*

*principal\_oct\_instrument.pdf*

### ***Name of study:***

Leadership for literacy or officially “Succeeding Against the Odds: Understanding resilience and exceptionalism in high-functioning township and rural primary schools in South Africa”.

### **Funder:**

Economic and Social Research Council [grant ES/N01023X/1]

### **Principal Investigator:**

Servaas van der Berg

### **Study period:**

May 2016 - November 2018

### **Data submission:**

February 2019



UNIVERSITEIT  
STELLENBOSCH  
UNIVERSITY



Research jointly supported by the ESRC and DFID




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**schoolid** UNIQUE school identifier


---

```

      type:  numeric (long)
      label:  schoolid, but label does not exist

      range:  [100,6100]                units:  100
unique values: 60                      missing .:  0/60

      mean:   3131.67
      std. dev: 1772.82

      percentiles:      10%      25%      50%      75%      90%
                        650      1650     3150     4650     5550

```

---

**barcode** Enter unique scan number at bottom LEFT of page


---

```

      type:  numeric (long)

      range:  [15629,62142]            units:  1
unique values: 60                      missing .:  0/60

      mean:   40482.8
      std. dev: 12738.3

      percentiles:      10%      25%      50%      75%      90%
                        22537.5  31358.5  40424     52057     59072.5

```

---

**province** What province is this school in?


---

```

      type:  string (str3)

unique values: 3                      missing "":  0/60

      tabulation:  Freq.  Value
                   21  "GP"
                   21  "KZN"
                   18  "LP"

```

---

**p\_permanent** 1\_1 Current position in this school?


---

```

      type:  numeric (byte)
      label:  p_permanent1

      range:  [0,2]                    units:  1
unique values: 3                      missing .:  0/60

      tabulation:  Freq.  Numeric  Label
                   51      0  Permanently appointed principal
                     5      1  Acting principal
                     4      2  Other person, specify

```

---

**p\_comment** 1\_2 Interviewer optional: Any comments on who is being interviewed


---

```

      type:  string (str81)

unique values: 7                      missing "":  53/60

```

```

tabulation:  Freq.  Value
              53  ""
              1  "Acting principal since April 2017"
              1  "HOD"
              1  "Principal was very cooperative"
              1  "Principle in a rush to the district
meeting, the interview is to take 10
minutes."
              1  "The acting principal"
              1  "The deputy principal was interviewed.
Principal is on sick leave."
              1  "The prinicpal"

```

warning: variable has embedded blanks

---

**p\_present**                      **1\_3 REFLECT: Is the school principal present at school today?**

---

```

type: numeric (byte)
label: YesNo

range: [0,1]
unique values: 2

units: 1
missing.: 1/60

tabulation:  Freq.  Numeric  Label
              4       0      No
              55       1      Yes
              1       .

```

---

**p\_readperiod1**                **2\_1 Dedicated period when learners in school read at the same time?**

---

```

type: numeric (byte)
label: p_readperiod11

range: [0,1]
unique values: 2

units: 1
missing.: 0/60

tabulation:  Freq.  Numeric  Label
              21       0      No
              39       1      Yes

```

---

**p\_absent1**                                      **3\_1 How many educators absent LAST FRIDAY?**

---

```

type: numeric (byte)
label: absent, but 6 nonmissing values are not labeled

range: [0,7]
unique values: 6

units: 1
missing.: 0/60

tabulation:  Freq.  Numeric  Label
              25       0
              19       1
               9       2
               4       3
               2       5
               1       7

```

---

**p\_absent2**                                      **3\_2 How many educators absent TODAY?**

---

```

type: numeric (byte)
label: absent, but 7 nonmissing values are not labeled

range: [0,10]
unique values: 8

units: 1
missing.: 0/60

```

| tabulation: | Freq. | Numeric | Label      |
|-------------|-------|---------|------------|
|             | 23    | 0       |            |
|             | 22    | 1       |            |
|             | 6     | 2       |            |
|             | 5     | 3       |            |
|             | 1     | 4       |            |
|             | 1     | 5       |            |
|             | 1     | 7       |            |
|             | 1     | 10      | 10 or more |

---

**p\_neducator**                      **3\_3 How many educators (EXCLUDING Grade R.) in this school?**

---

type: numeric (**byte**)

range: [5,51]                      units: 1

unique values: 31                      missing .: 0/60

mean: 19.7167

std. dev: 10.6502

percentiles:                      10%                      25%                      50%                      75%                      90%

   7.5                      11                      18                      25                      35.5

---

**p\_ngrader**                                      **3\_4 How many GRADE R educators in this school?**

---

type: numeric (**byte**)

range: [0,6]                      units: 1

unique values: 7                      missing .: 0/60

tabulation:                      Freq.                      Value

|  |    |   |
|--|----|---|
|  | 2  | 0 |
|  | 20 | 1 |
|  | 13 | 2 |
|  | 14 | 3 |
|  | 8  | 4 |
|  | 2  | 5 |
|  | 1  | 6 |

---

**p\_smtshould**                                      **4\_1 How many SMT members SHOULD this school have?.**

---

type: numeric (**byte**)

range: [0,8]                      units: 1

unique values: 9                      missing .: 0/60

tabulation:                      Freq.                      Value

|  |    |   |
|--|----|---|
|  | 2  | 0 |
|  | 1  | 1 |
|  | 4  | 2 |
|  | 8  | 3 |
|  | 9  | 4 |
|  | 15 | 5 |
|  | 8  | 6 |
|  | 8  | 7 |
|  | 5  | 8 |

---

**p\_smtvacant1**                                      **4\_2 How many SMT posts currently NOT FILLED in this school?**

---

type: numeric (**byte**)

label: **p\_smtvacant11**, but 2 nonmissing values are not labeled

```

range: [0,2] units: 1
unique values: 3 missing .: 0/60

```

```

tabulation: Freq. Numeric Label
              41      0 None
              17      1
              2       2

```

---

**p\_smtvacant2**

**4\_3 Longest unfilled SMT vacancy in this school: How long has it been unfilled?**

---

```

type: numeric (byte)
label: p_smtvacant21

```

```

range: [0,4] units: 1
unique values: 5 missing .: 0/60

```

```

tabulation: Freq. Numeric Label
              4      0 <3 months
              6      1 3 months-12 months
              4      2 1-2 years
              5      3 2+ years
             41      4 N.A. No unfilled SMT posts

```

---

**p\_smtvacant4**

**4\_5 REFLECT: Responses related to unfilled SMT posts (Q4)**

---

```

type: numeric (byte)
label: p_smtvacant41

```

```

range: [1,5] units: 1
unique values: 5 missing .: 1/60

```

```

tabulation: Freq. Numeric Label
              4      1 One or two, but not all, vacant
                  SMT posts filled with acting
                  staff members.
             11      2 All vacant SMT posts filled with
                  acting staff members.
              3      3 Responsibilities assoc. with
                  unfilled SMT post/s delegated to
                  staff members
              1      4 Does the job him/herself
             40      5 N.A. No unfilled SMT posts
              1      .

```

---

**p\_fees1**

**5\_1 How much are school fees in this school? (in Rands)**

---

```

type: numeric (int)

```

```

range: [0,2800] units: 10
unique values: 5 missing .: 0/60

```

```

tabulation: Freq. Value
             56      0
              1     100
              1     350
              1    1600
              1    2800

```

---

**p\_fees2**

**5\_2 What additional fees are charged other than school fees? (in Rands)**

---

```

type: string (str72)

```

unique values: 25 missing "": 3/60

examples: "0"  
"0"  
"0"  
"R10 per week per student"

warning: variable has embedded blanks

---

**p\_section21** **5\_3 Does this school have section 21 functions?**

---

type: numeric (byte)  
label: **p\_section21**

range: [0,1] units: 1  
unique values: 2 missing .: 0/60

|             |       |         |       |
|-------------|-------|---------|-------|
| tabulation: | Freq. | Numeric | Label |
|             | 2     | 0       | No    |
|             | 58    | 1       | Yes   |

---

**p\_paybooks** **5\_4 How are payments made to textbook/book suppliers**

---

type: numeric (byte)  
label: **p\_paybooks1**

range: [0,2] units: 1  
unique values: 3 missing .: 0/60

|             |       |         |  |
|-------------|-------|---------|--|
| tabulation: | Freq. | Numeric | Label  |
|             | 35    | 0       | Our school pays the suppliers directly       |
|             | 23    | 1       | The department pays the suppliers            |
|             | 2     | 2       | Our school AND department pay the suppliers. |

---

**p\_ownbooks2** **5\_6 REFLECT: Has the school taken initiative to manage LTSM budget directly?**

---

type: numeric (byte)  
label: **p\_ownbooks21**

range: [0,3] units: 1  
unique values: 4 missing .: 0/60

|             |       |         |  |
|-------------|-------|---------|--|
| tabulation: | Freq. | Numeric | Label  |
|             | 18    | 0       | No effort taken to get control of their LTSM budget.           |
|             | 6     | 1       | Some effort taken to get control of their LTSM budget.         |
|             | 2     | 2       | Considerable effort taken to get control of their LTSM budget. |
|             | 34    | 3       | N.A.   |

---

**p\_docu\_income** **6\_1\_0. Financial documents shown: Income and expenditure statement for 2016 fina**

---

type: numeric (byte)  
label: **YesNo**

range: [0,1] units: 1  
unique values: 2 missing .: 0/60

| tabulation: | Freq. | Numeric | Label |
|-------------|-------|---------|-------|
|             | 21    | 0       | No    |
|             | 39    | 1       | Yes   |

---

**p\_docu\_funding****6\_1\_1 Financial documents shown: Funding allocation from department 2017-2018**

---

|                |                |            |       |
|----------------|----------------|------------|-------|
| type:          | numeric (byte) |            |       |
| label:         | YesNo          |            |       |
| range:         | [0,1]          | units:     | 1     |
| unique values: | 2              | missing .: | 0/60  |
| tabulation:    | Freq.          | Numeric    | Label |
|                | 20             | 0          | No    |
|                | 40             | 1          | Yes   |

---

**p\_docu\_funding1****6\_1\_2. Financial documents shown: Funding allocation: 2016-17**

---

|                |                |            |       |
|----------------|----------------|------------|-------|
| type:          | numeric (byte) |            |       |
| label:         | YesNo          |            |       |
| range:         | [0,1]          | units:     | 1     |
| unique values: | 2              | missing .: | 0/60  |
| tabulation:    | Freq.          | Numeric    | Label |
|                | 20             | 0          | No    |
|                | 40             | 1          | Yes   |

---

**p\_docu\_budget****6\_1\_3 Financial documents shown: Budget for 2016**

---

|                |                |            |       |
|----------------|----------------|------------|-------|
| type:          | numeric (byte) |            |       |
| label:         | YesNo          |            |       |
| range:         | [0,1]          | units:     | 1     |
| unique values: | 2              | missing .: | 0/60  |
| tabulation:    | Freq.          | Numeric    | Label |
|                | 43             | 0          | No    |
|                | 17             | 1          | Yes   |

---

**p\_docu\_budget1****6\_1\_4 Financial documents shown: Budget for 2017**

---

|                |                |            |       |
|----------------|----------------|------------|-------|
| type:          | numeric (byte) |            |       |
| label:         | YesNo          |            |       |
| range:         | [0,1]          | units:     | 1     |
| unique values: | 2              | missing .: | 0/60  |
| tabulation:    | Freq.          | Numeric    | Label |
|                | 43             | 0          | No    |
|                | 17             | 1          | Yes   |

---

**p\_docu\_none****6\_1\_5 Financial documents shown: None**

---

|                |                |            |      |
|----------------|----------------|------------|------|
| type:          | numeric (byte) |            |      |
| label:         | YesNo          |            |      |
| range:         | [0,1]          | units:     | 1    |
| unique values: | 2              | missing .: | 0/60 |

```

tabulation:  Freq.    Numeric    Label
              59         0      No
              1         1      Yes

```

---

**p\_docu\_other**                      **6\_1\_6 Financial documents shown: Other, specify:**

---

```

type:  numeric (byte)
label:  YesNo

range:  [0,1]                units:  1
unique values:  2            missing .:  0/60

tabulation:  Freq.    Numeric    Label
              58         0      No
              2         1      Yes

```

---

**p\_ftotal**                      **7\_1 REFLECT EVIDENCE or ASK: Total funding allocation: 2017/18?**

---

```

type:  numeric (long)

range:  [101851,1915463]      units:  1
unique values:  59            missing .:  1/60

mean:    705306
std. dev: 462236

percentiles:    10%    25%    50%    75%    90%
                175875 285250 683780 1.0e+06 1.4e+06

```

---

**p\_fsouce**                      **7\_2 REFLECT EVIDENCE: Source of information on funding allocations?**

---

```

type:  numeric (byte)
label:  source

range:  [0,3]                units:  1
unique values:  3            missing .:  7/60

tabulation:  Freq.    Numeric    Label
              48         0      Information obtained directly
              4         1      from the document
              1         3      Information supplied verbally by
              7         .      principal
              .         .      Information supplied verbally by
              .         .      someone else

```

---

**p\_fenrol**  
**7\_3 REFLECT EVIDENCE: How many learners identified on funding allocation documen**

---

```

type:  numeric (int)

range:  [136,1814]          units:  1
unique values:  58          missing .:  1/60

mean:    701.542
std. dev: 391.9

percentiles:    10%    25%    50%    75%    90%
                252    386    624    955    1259

```



---

**p\_quintile****7\_4 REFLECT EVIDENCE: Quintile allocation is shown on funding allocation documen**

---

```

      type: numeric (byte)
      label: p_quintile1

      range: [0,4]                units: 1
unique values: 5                missing .: 2/60

      tabulation: Freq.   Numeric   Label
                  16        0       1
                  23        1       2
                  13        2       3
                   4        3       4
                   2        4       5
                   2         .

```

---

**p\_fphoto****7\_5 REFLECT EVIDENCE: Have you taken photos of the school funding allocation 201**

---

```

      type: numeric (byte)
      label: photo

      range: [0,2]                units: 1
unique values: 3                missing .: 3/60

      tabulation: Freq.   Numeric   Label
                  4         0   N.A. no document shown
                  2         1   No
                 51         2   Yes
                  3         .

```

---

**p\_ietotal**      **8\_1 REFLECT EVIDENCE or ASK: Total expenditure for the school in 2016?**

---

```

      type: numeric (long)

      range: [62481,8717205]      units: 1
unique values: 52                missing .: 8/60

      mean: 946980
      std. dev: 1.3e+06

      percentiles:      10%      25%      50%      75%      90%
                      171848  289602  722996  1.2e+06  1.9e+06

```

---

**p\_iebooks****8\_2 REFLECT EVIDENCE or ASK: Total amount actually SPENT on BOOKS (excl. station**

---

```

      type: numeric (long)

      range: [0,814342]          units: 1
unique values: 39                missing .: 5/60

      mean: 145623
      std. dev: 170393

      percentiles:      10%      25%      50%      75%      90%
                      0         0      91430  226208  381929

```

---

**p\_iesource****8\_3 REFLECT EVIDENCE: Source of information on expenditure?**

---

```

      type: numeric (byte)
      label: source

      range: [0,4]
      unique values: 4
      units: 1
      missing .. 3/60

```

| tabulation: | Freq. | Numeric | Label   |
|-------------|-------|---------|---|
|             | 40    | 0       | Information obtained directly from the document |
|             | 9     | 1       | Information supplied verbally by principal      |
|             | 1     | 2       | Information supplied verbally by admin. clerk   |
|             | 7     | 4       | No information given                            |
|             | 3     | .       |   |

**p\_iephoto****8\_4 REFLECT EVIDENCE: Have you taken photos of the income and expenditure statem**

```

      type: numeric (byte)
      label: photo

      range: [0,2]
      unique values: 3
      units: 1
      missing .. 5/60

```

| tabulation: | Freq. | Numeric | Label                  |
|-------------|-------|---------|------------------------|
|             | 8     | 0       | N.A. no document shown |
|             | 2     | 1       | No                     |
|             | 45    | 2       | Yes                    |
|             | 5     | .       |                        |

**p\_bbooks****9\_1 REFLECT EVIDENCE or ASK: Total amount BUDGETED on BOOKS (excl. stationery) f**

```

      type: numeric (long)

      range: [0,801292]
      unique values: 46
      units: 1
      missing .. 2/60

      mean: 205067
      std. dev: 205897

      percentiles:      10%      25%      50%      75%      90%
                       0      35000      150395      333226      500000

```

**p\_btotal****9\_2 REFLECT EVIDENCE or ASK: Total amount BUDGETED for TOTAL EXPENDITURE for 201**

```

      type: numeric (long)

      range: [233000,4481192]
      unique values: 58
      units: 1
      missing .. 2/60

      mean: 994883
      std. dev: 841306

      percentiles:      10%      25%      50%      75%      90%
                       304223      391565      781219      1.3e+06      1.8e+06

```

**p\_bsource****9\_3 REFLECT EVIDENCE: Source of information on the budget?**

```

      type: numeric (byte)
      label: source

```

```

      range: [0,4]                units: 1
unique values: 3                missing .: 1/60

  tabulation: Freq.  Numeric  Label
              54        0  Information obtained directly
              2        1  Information supplied verbally by
              3        4  No information given
              1        .

```

---

**p\_bphoto**                      **9\_4 REFLECT EVIDENCE: Have you taken photos of the budget 2017?**

---

```

      type: numeric (byte)
      label: photo

      range: [0,2]                units: 1
unique values: 3                missing .: 2/60

  tabulation: Freq.  Numeric  Label
              3        0  N.A. no document shown
              3        1  No
              52       2  Yes
              2        .

```

---

**p\_chala**                      **10\_1\_1 a. In past year: any damages to school infrastructure**

---

```

      type: numeric (byte)
      label: p_chalal

      range: [0,4]                units: 1
unique values: 5                missing .: 0/60

  tabulation: Freq.  Numeric  Label
              14       0  Not at all
              26       1  A little
               8       2  Quite a lot
               8       3  A lot
               4       4  A huge amount

```

---

**p\_chalb**                      **10\_1\_2 b. In past year: any negative changes to school management**

---

```

      type: numeric (byte)
      label: p_chalbl

      range: [0,3]                units: 1
unique values: 4                missing .: 0/60

  tabulation: Freq.  Numeric  Label
              44       0  Not at all
               9       1  A little
               4       2  Quite a lot
               3       3  A lot

```

---

**p\_chalc**                      **10\_1\_3 c. In past year: any water supply disruptions**

---

```

      type: numeric (byte)
      label: p_chalcl

      range: [0,4]                units: 1
unique values: 5                missing .: 0/60

```

| tabulation: | Freq. | Numeric | Label         |
|-------------|-------|---------|---------------|
|             | 32    | 0       | Not at all    |
|             | 10    | 1       | A little      |
|             | 4     | 2       | Quite a lot   |
|             | 6     | 3       | A lot         |
|             | 8     | 4       | A huge amount |

---

**p\_chald** **10\_1\_4 d. In past year: any community protests**

---

type: numeric (byte)  
 label: **p\_chald1**

range: [0,4] units: 1  
 unique values: 5 missing.: 0/60

| tabulation: | Freq. | Numeric | Label         |
|-------------|-------|---------|---------------|
|             | 43    | 0       | Not at all    |
|             | 11    | 1       | A little      |
|             | 3     | 2       | Quite a lot   |
|             | 1     | 3       | A lot         |
|             | 2     | 4       | A huge amount |

---

**p\_chale** **10\_1\_5 e. In past year: any strike action by teachers**

---

type: numeric (byte)  
 label: **p\_chale1**

range: [0,1] units: 1  
 unique values: 2 missing.: 0/60

| tabulation: | Freq. | Numeric | Label      |
|-------------|-------|---------|------------|
|             | 39    | 0       | Not at all |
|             | 21    | 1       | A little   |

---

**p\_chalf** **10\_1\_6 f. In past year: any work to rules/go-slow/down tools by teachers**

---

type: numeric (byte)  
 label: **p\_chalf1**

range: [0,1] units: 1  
 unique values: 2 missing.: 0/60

| tabulation: | Freq. | Numeric | Label      |
|-------------|-------|---------|------------|
|             | 57    | 0       | Not at all |
|             | 3     | 1       | A little   |

---

**p\_chalg** **10\_1\_7 g. In past year: any conflict among staff**

---

type: numeric (byte)  
 label: **p\_chalg1**

range: [0,3] units: 1  
 unique values: 4 missing.: 0/60

| tabulation: | Freq. | Numeric | Label       |
|-------------|-------|---------|-------------|
|             | 43    | 0       | Not at all  |
|             | 13    | 1       | A little    |
|             | 2     | 2       | Quite a lot |
|             | 2     | 3       | A lot       |

---

**p\_chalh** **10\_1\_8 h. In past year: any violence amongst learners**

---

```

      type: numeric (byte)
      label: p_chalh1

      range: [0,4]                      units: 1
unique values: 4                      missing .: 0/60

      tabulation: Freq.   Numeric   Label
                   30         0   Not at all
                   22         1   A little
                   7          2   Quite a lot
                   1          4   A huge amount

```

---

**p\_chali**      **10\_1\_9 i. In past year: any break-ins, robberies or other criminal activity**

---

```

      type: numeric (byte)
      label: p_chali1

      range: [0,4]                      units: 1
unique values: 5                      missing .: 0/60

      tabulation: Freq.   Numeric   Label
                   29         0   Not at all
                   18         1   A little
                   5          2   Quite a lot
                   5          3   A lot
                   3          4   A huge amount

```

---

**po\_smtvacant4**      **11\_1 OFFICE ONLY: Response given to the question on SMT vacancies?**

---

```

      type: numeric (byte)
      label: p_smtvacant41

      range: [1,5]                      units: 1
unique values: 5                      missing .: 2/60

      tabulation: Freq.   Numeric   Label
                   2         1   One or two, but not all, vacant
                                SMT posts filled with acting
                                staff members.
                   11        2   All vacant SMT posts filled with
                                acting staff members.
                   3         3   Responsibilities assoc. with
                                unfilled SMT post/s delegated to
                                staff members
                   1         4   Does the job him/herself
                   41        5   N.A. No unfilled SMT posts
                   2         .

```

---

**po\_ownbooks2**  
**11\_2 OFFICE ONLY: Has the school taken initiative to manage LTSM budget directly**

---

```

      type: numeric (byte)
      label: p_ownbooks21

      range: [0,3]                      units: 1
unique values: 4                      missing .: 2/60

```

| tabulation: | Freq. | Numeric | Label  |
|-------------|-------|---------|--|
|             | 20    | 0       | No effort taken to get control of their LTSM budget.           |
|             | 3     | 1       | Some effort taken to get control of their LTSM budget.         |
|             | 2     | 2       | Considerable effort taken to get control of their LTSM budget. |
|             | 33    | 3       | N.A.   |
|             | 2     | .       |  |