

Faculty of Commerce

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23 November 2017

Ms. Samantha Richmond
Director of Operations, National Income Dynamics Study
School of Economics

Dear Ms. Samantha Richmond

Project: National Income Dynamics Study (NIDS) Wave 5 (extension of ethics approval)

This extension of ethics approval refers to the ethics approvals received as follows in regards to the NIDS Wave 5 study:

- Received by Michael Brown on 20 September 2016 from the UCT Faculty of Commerce Ethics in Research Committee - coverage for 12 months
- Received by Prof. Ingrid Woolard on 19 December 2016 from the UCT Faculty of Health Sciences Human Research Ethics Committee (HREC REF 697/2016) - coverage until 30 December 2017

According to the current Director of Operations of the NIDS Wave 5 study, it is confirmed that none of the study protocols, instruments or nature of participants have changed since the last approval. In addition, it has been confirmed that there are no substantial changes to the research methodology that present ethical risks beyond those presented in the original submissions for ethics approval. If however you should require a further extension or make any substantial changes as described above which could affect the experiences of participants, you must submit a revised protocol to the Committee for approval.

Per discussion between myself and Prof. Malcolm Keswell, the UCT Faculty of Commerce Ethics in Research Committee representative for the School of Economics, we have decided to **extend the existing ethics approval until 31 December 2018**, as requested.

Prof. Malcolm Keswell is familiar with the nature of this study and has engaged with the former and current Director of Operations of NIDS on ethics in research matters throughout the course of the period covered by the current approvals. Both Prof. Malcolm Keswell and I agree that going forward, the NIDS ethics applications (inclusive of health related aspects of the survey instruments) are appropriately reviewed by the Commerce EiRC, and hence this extension of ethical clearance is sufficient.

Please note that the ongoing ethical conduct of the study remains the responsibility of the principal investigator.

Yours sincerely

A handwritten signature in black ink, appearing to read 'Michael Harber'.

Michael Harber

Acting Chair, Ethics in Research Committee

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