National Income Dynamics Study Wave 3: 2012
Proxy Questionnaire

A proxy adult questionnaire should be administered to a knowledgeable household member when it is not possible to interview the relevant individual (aged 15+) in person. NOTE: The proxy informant must be at least 18 years old.

Details about unavailable adult

A1 *name*	**First name**	
A2 *surname*	**Surname**	
A3	**Any other names by which this person is known?**	

Location How to find the relevant individual

A4a	**Apartment number (if any)**		
A4b	**Street Address (if any)**		
A5a	**Local Area Type**	Suburb	1
		Rural	2
		Farm	3
		Informal Settlement	4
		Don't know	-9
		Refused	-8
A5	**Suburb or Local Area**		
A6	**Town or City**		
A7	**Post Code**		
A7a	**Description of how to find and identify the dwelling**		
A8	**Daytime telephone number of the relevant individual**		
A9	**Evening telephone number of the relevant individual**		
A10	**Other telephone number of the relevant individual**		
A11	**Email contact of the relevant individual**		

Interviewer Details

A13 *intrv_name*	**Interviewer Name**				
A14 *intrv*	**Date of interview (dd/mm/yyyy)**	__ __/__ __/__ __ __ __	**A15** *intrvsrt*	**Interview start time**	__ __:__ __

Appointments Log

	Date (dd/mm/yyyy)	Time	Outcome (drop down list)	Any new phone number/email addresses discovered
A16.1	__ __/__ __/__ __ __ __	**A16.2** __ __:__ __	**A16.3**	**A16.4**
A17.1	__ __/__ __/__ __ __ __	**A17.2** __ __:__ __	**A17.3**	**A17.4**

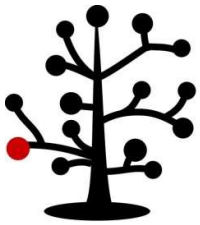
Refusals (if applicable)

A22 *refexpl*	What is the main reason for refusal?	Too busy	1
		Not interested/waste of time	2
		Questionnaire too personal/too intrusive	3
		Don't trust surveys	4
		Never do surveys	5
		Too old	6
		Other (Specify) _____	
		Sickness/ Recent Death/ Recent child	8
		It took too long last time	9
A23 *refint*	Degree of interaction with person refusing	None – Refused calling card	1
		Very little – they cut me short, said no thanks	2
		Some – Got to say I was doing an important survey	3
		A fair bit – got to show them the brochure, and spend a bit of time trying to talk them around	4

A24 *refgen*	Gender of person refusing **(Drop down list)**	Male	Female
A25 *refage*	Age of person refusing		

Proxy respondent details

A26 *respid*	**Name**		**A27**	**Surname**	
A28 *resrel*	**Relationship of respondent to adult in question** Warn if relationship code =01/02				
A29 *respro x*	What is the reason for conducting a proxy interview? The unavailable adult is [...]	In an institution (e.g. hospital, prison)	01		
		Studying away from home	02		
		On holiday	03		
		Away on business / for work	04		
		Unable to be contacted	05		
		Permanently too unwell or disabled	06		
		Temporarily unwell	07		
		Too old	08		
		Deaf or has speech problems	09		
		Has language problems	10		
		Refused but allowed a proxy	11		
		Temporarily away from home for other reasons *(specify)* *resprox_o*	12		
		Is a tracked individual who has moved out of scope	13		



N.i.D.S.

NATIONAL INCOME DYNAMICS STUDY

Proxy Adult Questionnaire
Wave 3: 2012

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Section Aa

INTERVIEWER READ OUT: We contact some respondents to make sure that they are happy with the way this interview was undertaken and to confirm we have taken their answers correctly. We would like to confirm this person's contact details		
Aa1	What would be the best number contact this person on during the day? **Interviewer:** **Include area codes for landline numbers**	___ ___ ___ ___ ___ ___ ___ ___ ___ ___
Aa2	What would be the best number contact this person on during the evening? **Interviewer:** **Include area codes for landline numbers**	___ ___ ___ ___ ___ ___ ___ ___ ___ ___
Aa3	Is there any other number we ought to try? **Interviewer:** **Include area codes for landline numbers**	___ ___ ___ ___ ___ ___ ___ ___ ___ ___

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Section B: Demographics

INTERVIEWER READ OUT: We would like to ask you some questions about [...]'s background.						
					Validation Rule	**Skips**
B1 *dob*	What is [...]'s date of birth? **If Refuse -8; Don't Know -9**	SD **dd**	**mm**	**year**	Warn if panel value != Current value Pre-popped with display	
B2 *gen*	What is [...]'s gender	Male		1	Warn if panel value != Current value Pre-popped with display	
		Female		2		
		Refuse		-8		
		Don't Know		-9		
B3 *popgrp*	What population group does [...] belong to?	African		1	Warn if panel value != Current value Pre-popped with display	
		Coloured		2		
		Asian/Indian		3		
		White		4		
		Other (specify) *popgrp_o*		5		
		Refused		-8		
		Don't Know		-9		
B4 *marstt*	What is [...]'s current marital status?	Married		1	Warn if ever_marry = 1	
		Living with partner		2		
		Widow/Widower		3		
		Divorced or separated		4		
		Never married		5		
		Refused		-8		
		Don't Know		-9		
B5 *lng*	Which language does [...] usually speak at home? **Interviewer: Select from drop-down list**	Language			Warn if panel value != Current value Pre-popped with display	
		If other, specify *lng_o*				
		Refused		-8		
		Don't Know		-9		
B6 *slpw*	Over the past 7 nights, how many nights did [...] sleep under this roof? **If Refuse -8; Don't Know -9**				valid ranges: <=7	
B7 *slpm*	Over the past month, how many nights did [...] sleep under this roof? **If Refuse -8; Don't Know -9**				valid ranges: <=31	

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INTERVIEWER READ OUT: Now we would like to know about the different places that [...] has lived. In each instance please give the suburb, town, province and country if not South Africa.						Validation Rule	Skips
B9	Where was [...] born? **Interviewer: If don't know write "-9". If refused write "-8"**	Suburb/Village (SD) *brnsub*	Town/City (SD) *brntwn*	Province **See code sheet for Province codes** *brnprov*	Country (If not South Africa) *brncc*		
CB10a	Computer Check: Is DOB year > 1994?			Yes: 1 No: 2			CB11a
B10 *lv94prov*	In which province was [...] living in 1994? **Interviewer:** **If don't know write "-9". If refused write "-8"**			Pre-popped with display		Warnif panel value != Current value	
B11 *lv10prov*	In which province was [...] living in February 2010? **Interviewer: If don't know write "-9". If refused write "-8"**						
B12 *lvevoth*	Has […] ever lived in another suburb / town /village?			Yes: 1 No: 2 Refused: -8 Don't Know: -9		Warn if panel value != Current value	 C1 C1 C1
B13 *moveyr*	In what year did […] move here? **Interviewer: This refers to most recent move**						
B14	Where did [...] live before moving to the place where he/she is living now? **If don't know write "-9". If refused write "-8"**	Suburb/Village (SD) *lvbfsub*	Town/City (SD) *lvbftwn*	Province **See code sheet for Province codes** *lvbfprov*	Country (If not South Africa) *lvbfcc*		

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Section C: Labour market participation

INTERVIEWER READ OUT: In this section we find out about [...]'s employment history.					
If Refuse -8; Don't Know -9; Not Applicable -5				**Validation Rule**	**Skips**
C1 *emactcur*	Which one of the following best describes what [...] is currently doing? **Interviewer: Read out options**	Working for pay	1		
		Self-employed	2		
		Working on own plot or looking after livestock	3		
		Helping another family member with their business, without pay	4		D1
		Full-time scholar or student at school, university, college or another educational institution	5		D1
		Homemaker (looking after children / others / home)	6		D1
		Long term sick or disabled	7		D1
		Retired	8		D1
		Unemployed and actively searching for a job in the last four weeks	9		D1
		Unemployed but not actively searching for a job in the last four weeks.	10		D1
		Refused	-8		D1
		Don't Know	-9		D1
INTERVIEWER READ OUT: Now we would like to ask you whether [...] is working or unemployed or not wanting to work right now.					
C2 *em1wrk* SD	What kind of work does [...] usually do in their job/business? Give occupation or job title. **Interviewer: Record at least two words: car sales person, office cleaner, vegetable farmer, primary school teacher, etc.**				
C3 *em1task* SD	What are [...]'main tasks or duties in this work? For example some people sell fruit or repair machines or keep accounts or deliver things or look after cattle.				
C4 *em1wrkplace* SD	What is the name of [...]'s place of work, for example, it might be Pick 'n' Pay or a government department or a bank or your own home? **Interviewer: For government or large organisations, give the name of the establishment and branch or division: e.g. Education Dept – Rapele Primary School; ABC Gold Mining - Maintenance Div.** **Write "Own house" or "No fixed location", if relevant. For domestic workers write "private household".**				
C5 *em1goods* SD	What are the main goods and services produced at [...]'s place of work or what are its main functions, for example, making electrical appliances or repairing cars or selling houses or primary education? **Interviewer: For domestic workers write "private household".**				
C5.1 *em1sect*	In which economic sector does [...] work?	Agriculture, fishing, forestry	1		
		Mining and quarrying	2		
		Manufacturing (e.g. clothing, food)	3		
		Electricity, gas, water	4		
		Construction	5		
		Wholesale/ retail	6		
		Transport, storage and communication	7		
		Finance, real estate and business services	8		
		Community, social and personal services	9		
		Private households	10		
		Other - Specify	11		
		Don't know	-9		
		Refused	-8		

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						Validation Rule	Skips
INTERVIEWER READ OUT: We understand that earnings is a difficult and sensitive question. However, due to its importance, we would like to ask you a range into which this persons last 30 day's earnings fall.							
C6 *em1inc_brac#*	Would you say last month's take home pay (from this job) was:	**C6.1**	More than or less than R3100?	More than	1		C6.3
				About equal to	2		D1
				Less than	3		C6.2
				Refused	-8		D1
				Don't know	-9		D1
		C6.2	More than or less than R1300?	More than	1		D1
				About equal to	2		D1
				Less than	3		C6.4
				Refused	-8		D1
				Don't know	-9		D1
		C6.3	More than or less than R5900?	More than	1		C6.5
				About equal to	2		D1
				Less than	3		D1
				Refused	-8		D1
				Don't know	-9		D1
		C6.4	More than or less than R600?	More than	1		
				About equal to	2		
				Less than	3		D1
				Refused	-8		
				Don't know	-9		
		C6.5	More than or less than R11000?	More than	1		
				About equal to	2		D1
				Less than	3		D1
				Refused	-8		D1
				Don't know	-9		D1
		C6.6	More than or less than R18000?	More than	1		
				About equal to	2		
				Less than	3		D1
				Refused	-8		
				Don't know	-9		

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Section D: Individual income from non-employment sources

INTERVIEWER READ OUT: In this section we are going to talk about any money or any form of assistance that [...] may receive **which does not involve employment of some kind**.

As I read a list of the different ways in which people can receive money or assistance, please indicate whether [...] did, in fact, receive such assistance or not in the last month.

							Validation Rule	
		1. Did [...] receive income or assistance from [..] in the last month ?				Computer Check: If Answer != 1 skip to next Question		2. How much did [...] receive last month in Rands? **Interviewer: If don't know write "-9". If refused write "-8"**
		Yes	No	Refused	Don't Know			
D1	State (South African government) old age grant *incgovpen*	1	2	-8	-9	If Answer != 1 skip to D2		*incgovpen_v*
D2	Disability grant *incdis*	1	2	-8	-9	If Answer != 1 skip to D3		*incdis_v*
D3	Child support grant *incchld*	1	2	-8	-9	If Answer != 1 skip to D4		*incchld_v*
D4	Foster care grant *incfos*	1	2	-8	-9	If Answer != 1 skip to D5		*incfos_v*
D5	Care dependency grant *inccare*	1	2	-8	-9	If Answer != 1 skip to D6		*inccare_v*
D6	War veterans pension *incwar*	1	2	-8	-9	If Answer != 1 skip to D7		*incwar_v*
D7	Unemployment insurance (UIF) *incuif*	1	2	-8	-9	If Answer != 1 skip to D8		*incuif_v*
D8	Workmen's compensation *incwc*	1	2	-8	-9	If Answer != 1 skip to D9		*incwc_v*
D9	Pension or Provident Fund *incpfnd*	1	2	-8	-9	If Answer != 1 skip to D10		*incpfnd_v*
D10	Private retirement annuity *incret*	1	2	-8	-9	If Answer != 1 skip to D11		*incret_v*
D11	Retirement gratuity or retirement package *incretp*	1	2	-8	-9	If Answer != 1 skip to D12		*incretp_v*
D12	Rental income *incrnt*	1	2	-8	-9	If Answer != 1 skip to D13		*incrnt_v*
D13	Interest earnings including dividends, interest from savings, loans *incint*	1	2	-8	-9	I If Answer != 1 skip to D14		*incint_v*
D14	Retrenchment package *incretr*	1	2	-8	-9	If Answer != 1 skip to D15		*incretr_v*
D15	Inheritances *incinh*	1	2	-8	-9	If Answer != 1 skip to D16		*incinh_v*
D16	Lobola or bride wealth payments *inclob*	1	2	-8	-9	If Answer != 1 skip to D17		*inclob_v*
D17	Gifts *incgif*	1	2	-8	-9	If Answer != 1 skip to D18		*incgif_v*
D18	Repayments of loans to you *incloan*	1	2	-8	-9	If Answer != 1 skip to D19		*incloan_v*
D19	Sale of household goods (e.g. Car, television, refrigerator) *incsale*	1	2	-8	-9	If Answer != 1 skip to D19		*incsale_v*
D20	Other, excluding child maintenance, remittances and alimony (specify) *inco*	1	2	-8	-9	If Answer != 1 skip to E1		*inco_v*

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Section D2: Grants received by others to care for you

				Validation Rule	Skips
D2.1	**<u>Computer CHECK</u>! Is this respondent between 15 and 17 years of age? (i.e. Is 15 <= A14 – B1 <= 17?)**	Yes	1		
		No	2		E1
<u>INTERVIEWER READ OUT:</u> Now we would like to ask some questions about any grants received by others to care for [...].					
D2.1 *grcur*	Does anyone currently receive a child support grant, foster care grant or care dependency grant to care for [...]?	Yes	1		
		No	2		E1
		Don't Know	-9		E1
		Refused	-8		E1
D2.2 *grcurtyp*	What type of grant is this?	Child support grant	1		
		Foster care grant	2		
		Care dependency grant	3		
		Don't Know	-9		
		Refused	-8		
D2.3	Who is the person that the grant is paid to? **Interviewer: If person not in household, pid = 77** **See code sheet for Relationship Codes** **If Don't Know write "-9"** **If Refused write "-8"**	pid *grcurecpid*			
		Relationship to child *grcurecrel*		Warn if relationship code =01/ 02/ 03/ 04/ 05 / 06 / 07 / 13 / 16 / 19 /22 / 23 / 24	

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Section E: Education

INTERVIEWER READ OUT: We would like to ask about [...]'s education.					
Interviewer: If Refuse -8; Don't Know -9; Not Applicable -5				**Validation Rule**	**Skips**
E1 *edschgrd*	What is the highest grade in school that [...] has successfully completed? (Do not count the final year [...] was in school if he/she did not successfully complete the year.) **Interviewer: Select from drop down list**	Highest Grade		valid range: codes 00-15 +24- 25 and check diff betwhighschgrade & w2 <= 2	F1 if E1=25
		Other(specify) *edschgrd_o*			
		Refused	-8		
		Don't Know	-9		
E2.a *edschyr*	In what year did [...] successfully complete this grade?	Year			E3
		Refuse	-8		
		Don't know	-9		
E2.b *edschage*	How old was [...] when he/she successfully completed this grade?	Age			
		Refuse	-8		
		Don't know	-9		
E3 *edter*	Has [...] successfully completed any diplomas, certificates or degrees outside of school?	Yes	1	Warn if w2_tertiyesno=1	
		No	2		E5
		Refuse	-8		E5
		Don't know	-9		E5
E4 *edterlev*	What is the highest level of education [...] successfully completed? Do not include any courses that [...] did not successfully complete. **Interviewer: Select from drop down list. Codes 00 to 15 and 25 are not applicable.**	Highest level of education		valid range: codes 16 - 24	
		If other, specify here *edterlev_o*			
E5 *ed12cur*	Is [...] currently enrolled in any school or classes or correspondence courses of any kind? Include university, technical colleges or any courses as well as school.	Yes	1		
		No	2		F1
		Refuse	-8		F1
		Don't know	-9		F1
E6 *ed12schname* SD	What is the name of the school or educational institution that [...] is currently attending?			Pre-popped with display	
E7 *ed12schloc* SD	What is the location of this educational institution? **Interviewer: Please get street address, neighbourhood (such as Rondebosch, Macazar, Langa, Hanover Park, or Athlone) or other identifying information if in Cape Town or name of the nearest town or city (such as Worcester, Durban, Umtata, or Cofimvaba). Interviewer: If Refuse -8; Don't Know -9; Not Applicable -5**			Pre-popped with display	
E8 *ed12curlev*	What education level is [...] currently enrolled in? **Interviewer: Select from drop down list**	Current education level			
		If other, specify here *ed12curlev_o*			

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				Validation Rule	Skips
CE9	**Computer Check! Is E8< 16?**	Yes	1		F1
		No	2		
E9 *ed12cursub*	What subject or programme is [...] studying?	Arts / humanities	1		
		Science	2		
		Social science	3		
		Law	4		
		Theology	5		
		Commerce / management	6		
		Education	7		
		Medical services (incl. dentistry)	8		
		Engineering	9		
		Administration / clerical	10		
		Protection	11		
		Building sciences	12		
		Technical	13		
		Computing	14		
		Veterinary	15		
		Tourism	16		
		Beauty / hair / cosmetology	17		
		Other (specify) *ed12cursub_o*	18		
		Refuse	-8		
		Don't know	-9		
E10 *ed12curmat*	Does this institution require [...] to have a matric? If yes, does it require [...] to have matric exemption?	Yes, with matric exemption	1		
		Yes, but no exemption required	2		
		No	3		
		Refused	-8		
		Don't Know	-9		

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Section F: Health

INTERVIEWER READ OUT: We would like to ask you some questions about [...]'s health.

							Skips
F1 *hldes*	How would you describe [...]'s health at present? Would you say it is excellent, very good, good, fair, or poor?	Excellent				1	
		Very good				2	
		Good				3	
		Fair				4	
		Poor				5	
		Refuse				-8	
		Don't know				-9	
F2	Has a doctor/nurse/health care professional **ever** told [...]that he/she has any of the following		Yes	No	Refuse	Don't Know	
		1 - TB (Tuberculosis) *hltb*	1	2	-8	-9	
		2 - High blood pressure *hlbp*	1	2	-8	-9	
		3 - Diabetes *hldia*	1	2	-8	-9	
		4 - Stroke *hlstrk*	1	2	-8	-9	
		5 - Asthma *hlast*	1	2	-8	-9	
		6 - Heart problems *hlhrt*	1	2	-8	-9	
		7 - Cancer *hlcan*	1	2	-8	-9	
F3 *hlser*	Does [...] have any other major illnesses or disability not mentioned above?	Yes				1	
		No				2	F5
		Refuse				-8	F5
		Don't know				-9	F5
F4 *hlser#*	If yes, what are they? **Interviewer: Do not read out** **Multiple mentions allowed**	Physically handicapped				1	
		Problems with sight, hearing or speech				2	
		Psychological or psychiatric disorder				3	
		HIV/AIDS				4	
		Epilepsy/ fits				5	
		Emphysema				6	
		Alzheimer's disease				7	
		Other (Specify) *hlser_o*				8	
F5 *hlvisaid*	Does [...] use spectacles or glasses, including for reading?	Yes				1	
		No				2	
		Refuse				-8	
		Don't know				-9	
F6 *hlhraid*	Does [...] use a hearing aid?	Yes				1	
		No				2	
		Refuse				-8	
		Don't know				-9	

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Section G: Interviewer evaluation

To be completed by interviewer only

G1 *intlng#*	Languages used during interview **Interviewer: Multiple mention allowed**	IsiNdebele	01	
		IsiXhosa	02	
		IsiZulu	03	
		Sepedi	04	
		Sesotho	05	
		Setswana	06	
		Siswati	07	
		Tshivenda	08	
		Isitsonga	09	
		Afrikaans	10	
		English	11	
		Other (specify)	12	
G2 *intresp*	In general, how did the respondent act towards you during the interview?	Hostile	1	
		Neither hostile nor friendly	2	
		Friendly	3	
G3 *intresp act*	How attentive was the respondent to the questions during the interview?	Not at all attentive	1	
		Somewhat attentive	2	
		Very attentive	3	
G4 *intresp hear*	Were other persons within hearing range at any time during the interview?	No other person within hearing range at any time	1	
		1+ persons within hearing range for part of the interview	2	
		1+ persons within hearing range for all of the interview	3	
G5 *intresp que*	Did more than one person help to complete this questionnaire?	Yes	1	
		No	2	G7
G6	If so, which household members helped to complete the questionnaire? Fill in the Names of those who assisted **Interviewer: If no second or third person skip to G7**	Pid 1 *intresppid1*		
		Pid 2 *intresppid2*		
		Pid 3 *intresppid3*		
G7 *intcom*	Any additional comments about specific questions or data quality?.....			

G8 *intrvinfo*	Did you feel that the information provided by the respondent about the unavailable adult was [...]	Very good	1
		Good	2
		Neither good, nor bad	3
		Bad	4
		Very bad	5
G9 *intrvend*	Interview end time	__ __:__ __	

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